



Gwasanaeth Democratiaeth
Democracy Service
Swyddfa'r Cyngor
CAERNARFON
Gwynedd
LL55 1SH

Cyfarfod / Meeting

PWYLLGOR ARCHWILIO
AUDIT COMMITTEE

Dyddiad ac Amser / Date and Time

10.30am DYDD IAU, 17 TACHWEDD 2011

10.30am THURSDAY, 17 NOVEMBER 2011

Lleoliad / Location

****SIAMBR ARFON-CHAMBER****
SWYDDFEYDD Y CYNGOR/COUNCIL OFFICES,
PENRALLT,
CAERNARFON

Pwynt Cyswllt / Contact Point

GWYN PARRY WILLIAMS

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Dosbarthwyd/Distributed: 10-11-11

**PWYLLGOR ARCHWILIO
AUDIT COMMITTEE**

AELODAETH/MEMBERSHIP (15)

Plaid Cymru (7)

Y Cynghorwyr/Councillors

Edward Dogan
Selwyn Griffiths
Ioan Thomas

Huw Edwards
Charles W Jones

Margaret Griffith
R. L. Jones

Annibynnol/Independent (3)

Y Cynghorwyr/Councillors

Tom Ellis

John P. Roberts

Dewi Owen

Llais Gwynedd (3)

Y Cynghorwyr/Councillors

Llinos Merks

Aeron Jones

Gethin G. Williams

Llafur/Labour (1)

Y Cynghorydd/Councillor

Keith Greenly-Jones

Aelod Unigol/Individual Member (1)

Y Cynghorydd/Councillor

Dylan Edwards

Aelod Ex-officio/Ex-officio Member

Cadeirydd y Cyngor /Council Chairman

RHAGLEN

1. YMDDIHEURIADAU

Derbyn unrhyw ymddiheuriadau am absenoldeb.

2. DATGAN BUDDIANT PERSONOL

Derbyn unrhyw ddatganiad o fuddiant personol

3. MATERION BRYD

Ystyried unrhyw fater arall sydd yn teilyngu sylw bryd ym marn y Cadeirydd.

4. COFNODION

Bydd y Cadeirydd yn cynnig y dylid llofnodi cofnodion cyfarfod diwethaf o'r pwyllgor hwn a gynhaliwyd ar 29 Medi 2011, fel rhai cywir.
(copi yma- papur **gwyn**)

5. YMATEB YR ADRAN RHEOLEIDDIO I ADRODDIADAU ARCHWILIO MEWNOL

Cyflwyno adroddiad y Pennaeth Adran Rheoleiddio
(copi yma – papur **glas**)

6. CYNNYRCH YR ADRAN ARCHWILIO MEWNOL

Cyflwyno adroddiad yr Uwch Reolwr Archwilio a Risg yn amlinellu gwaith yr Adain Archwilio Mewnol am y cyfnod rhwng 1 Medi a 31 Hydref 2011
(copi yma – papur **pinc**)

7. CYLLIDEB REFENIW 2011/12 – ADOLYGIAD AIL CHWARTER (MEDI 2011)

Cyflwyno adroddiad y Pennaeth Cyllid (copi yma – papur **gwyn**)

8. RHAGLEN GYFALAF 2011/12 – ADOLYGIAD DIWEDD YR AIL CHWARTER (30 MEDI 2011)

Cyflwyno adroddiad y Pennaeth Cyllid (copi yma – papur **aur**)

9. RHEOLAETH TRYSORLYS 2011/12 – ADOLYGIAD CANOL BLWYDDYN

Cyflwyno, er gwybodaeth, adroddiad y Pennaeth Cyllid ar wir weithgarwch benthyg a buddsoddi'r Cyngor hyd yma yn y flwyddyn ariannol gyfredol.
(copi yma – papur **lelog**)

10. DATGANIAD STRATEGAETH RHEOLAETH TRYSORLYS 2011/12

Cyflwyno adroddiad y Pennaeth Cyllid (copi yma – papur **gwyn**)

11. PROTOCOL AR Y CYD RHWNG YR ARCHWILWYR ALLANOL AC ARCHWILIO MEWNOL

Cyflwyno adroddiad yr archwilwyr allanol a'r Uwch Reolwr Archwilio a Risg (copi yma – papur **llwyd**)

12. CYNLLUN ARCHWILIO MEWNOL 2011/12

Cyflwyno adroddiad yr Uwch Reolwr Archwilio a Risg ar y cynnydd ar Gynllun Archwilio Mewnol 2011/12 (copi yma – papur **gwyrdd**)

AGENDA

1. APOLOGIES

To receive apologies for absence.

2. DECLARATION OF PERSONAL INTEREST

To receive any declaration of personal interest.

3. URGENT BUSINESS

To note any items that are a matter of urgency in the view of the Chairman for consideration.

4. MINUTES

The Chairman shall propose that the minutes of the last meeting of this committee, held on 29 September 2011, be signed as a true record.

(copy herewith - **white** paper)

5. THE REGULATORY DEPARTMENT'S RESPONSE TO INTERNAL AUDIT REPORTS

To submit the report of the Head of Regulatory Department
(copy herewith – **blue** paper)

6. OUTPUT OF THE INTERNAL AUDIT SECTION

To submit the report of the Senior Audit and Risk Manager outlining the work of the Internal Audit Section for the period between 1 September and 31 October 2011.
(copy herewith – **pink** paper)

7. 2011/12 REVENUE BUDGET – SECOND QUARTER REVIEW (SEPTEMBER 2011)

To submit the report of the Head of Finance
(copy herewith – **white** paper)

8. CAPITAL PROGRAMME 2011/12 – END OF SECOND QUARTER REVIEW (30 SEPTEMBER 2011)

To submit the report of the Head of Finance
(copy herewith – **gold** paper)

9. TREASURY MANAGEMENT 2011/12 – MID-YEAR REVIEW

To submit, for information, the report of the Head of Finance, on the Council's actual borrowing and investment during the current financial year. (copy herewith – **lilac** paper)

10. TREASURY MANAGEMENT STRATEGY STATEMENT 2011/12

To submit the report of the Head of Finance (copy herewith – **white** paper)

11. JOINT PROTOCOL BETWEEN EXTERNAL AUDIT AND INTERNAL AUDIT

To submit the report of the external auditors and the Senior Audit and Risk Manager (copy herewith – **grey** paper)

12. INTERNAL AUDIT PLAN 2011/12

To submit the report of the Senior Audit and Risk Manager on the progress of the Internal Audit Plan 2011/12 (copy herewith – **green** paper)